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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: McConnell, et al.) Docket No: H0001668
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)
For: Automatic Mode Transitions for)
Microturbine Generating Systems) Group Art Unit: 2838
)
Serial No.: 09/923,713)
)
Filing Date: 8/7/01)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
And Trademarks
Washington, D.C. 20231

Assistant Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents listed below and identified on the attached form PTO/SB/1449 for review by the Examiner during the examination of the above-referenced application. A copy of each document is provided for the Examiner's use. This Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. §1.97(b)(3).

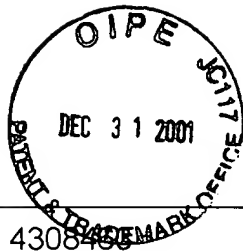
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U.S. PATENTS		
Patent Number	Date	Name
4029951	6/14/77	Berry, et al.
4031407	6/21/77	Reed
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, DC 20231 on November 16, 2001.

By 
Stephen A. Slusher, Reg. No. 43,924



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4308455	12/29/81	Giras, et al.
4455614	6/19/84	Martz, et al.
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5855112	1/5/99	Bannai, et al.
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FOREIGN PATENTS		
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EP0472294A1	7/24/91	Europe - Szewczuk
WO97/09524	3/13/97	Japan - Bannai

Although Applicants have not submitted a concise explanation of the relevance of patent number WO1-9709524, Applicants hereby submit a copy of patent number 5855112, which is an English-language equivalent of patent number WO1-9709524.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.



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Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/1449 be returned in accordance with MPEP, §609.

Respectfully submitted,

By: 

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Date: November 16, 2001

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